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Сборник, в который вошли статьи отечественных и зарубежных ученых, посвящен 80-летию известного российского востоковеда, доктора исторических наук, профессора Е.И. Кычанова. Проблематика сборника задана основными доминантами многолетнего исследовательского творчества юбиляра, который, являясь в первую очередь тангутоведом и опираясь на широчайшую источниковедческую базу, блестяще разработал многие актуальные проблемы истории государственности, права, этногенеза, письменного наследия народов Китая и Центральной Азии. Большинство авторов статей постарались показать, как вопросы, поставленные в свое время в работах Е.И. Кычанова, получили дальнейшее развитие в науке.

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## Some Notes on the Ethnic Name *Taŋut* (*Tangut*) in Turkic Sources

he name of the powerful Kingdom of 西夏 Xi-Xia (982–1227) in the indigenous sources was treated several times by the jubilee himself. In his book of 2008 the jubilee gives a survey on the history and culture of the Xi-Xia (Taŋut), i.e. on the sources that mention the name Taŋut in non-Taŋut languages. In my contribution in honour of the highly esteemed scholar I would like to present some Old Uighur texts as well as to make some remarks on the name *taŋut* used in the Turkic sources. Although many scholars regard the etymology of the term *taŋut* as settled insofar as the first component of the Chinese designation 党項 Dang Xiang is explained as its etymon, others do not. R. Dunnell discusses in great detail the historical sources where the name *taŋut* is attested. Chinese sources mention the tribe of the Taŋut for earlier periods, e.g. in the 7<sup>th</sup> c.

#### The name Tanut in the Old Turkic inscriptions

It is common knowledge that the earliest record of the tribal name  $ta\eta ut$  is found in the Old Turkic inscriptions of the first half of the 8<sup>th</sup> c. Thanks to H. Şirin User's new dictionary it has become easy to refer to the data of the inscriptions. In the section of ethnic names (Kavim ve Boy Adları) her dictionary has the following entry from the Bilgä Kagan inscription:  ${}^{7}y(e)ti\ y(e)g(i)rmi: y(a)s(i)ma: t(a)\eta ut: t(a)pa:$ 

<sup>&</sup>lt;sup>1</sup> Kychanov 2008, pp. 650–658.

<sup>&</sup>lt;sup>2</sup> Golden 1992, pp. 166–167.

 $<sup>^3</sup>$  Erdal 2004, p. 158 fn. 272: "The Tanut people (this name first mentioned twice in the Orkhon inscriptions) were in Tang China called Dang Xiang. I would propose that +Ut was added to this first syllable. If this was done by Turks, the vowel would be fixed as /U/. If the language was Mongolic (the plural suffix +Ud being fully productive there), Mongolic /U/ would correspond to Turkic /X/."

<sup>&</sup>lt;sup>4</sup> Kwanten 1982, p. 55.

<sup>&</sup>lt;sup>5</sup> Dunnell 1984. On p. 79 she refers to Bailey 1940, but in fact Bailey interpreted Khotanese Ttāgutta as "Tibetan," not as "Taŋut."

<sup>&</sup>lt;sup>6</sup> Atwood 2010.

<sup>&</sup>lt;sup>7</sup> User 2009, p. 163, (text of the Bilgä Kagan inscription: p. 458).

 $s\ddot{u}l(\ddot{a})dim: y(e)ti\; y(e)g(i)rmi: y(a)s(i)ma: t(a)\eta ut; bod(u)n(u)g: bozd(u)m$  "At my age of seventeen I waged war against the Taŋut; at my age of seventeen I destroyed the people of the Taŋut."

The name Tanut in the Compendium of the Turkic Languages (1072)

Maḥmūd al-Kāšġarī has a list of names of peoples in which the Taŋut occupy a position between the Uighur and the Xitay: Čigil, Tuxsi, Yagma, Ograq, Čaruq, Čömül, Uygur, Taŋut, Xitay, Čin, Tawgač. He also recorded many scattered stanzas about the battle of Taŋut and Uygur that can be reconstructed as a verse cycle. 9

The chapter on the Tanut by Rašīd ad-Dīn

In his chapter on the Taŋut (Taŋqūt) Rašīd ad-Dīn describes their territory and mentions some rulers and their bellicose army during the time of Čiŋiz Xān and how they were finally subdued. Here I only refer to the excellent English translation of W.M. Thackston.<sup>10</sup>

#### A Christian manuscript

In an Old Uighur manuscript of the Church of the East the borders of the Realm of the Old Uighurs are described as stretching from the lands of the Taŋut in the East to Fars in the West (ll. 65-66):  $d(a)\eta ut$  ellärtin . p(a)rs [...] ellärtin "From the Taŋut countries, from countries of Pars (= Fārs) [...]." Unfortunately there is no way for precisely dating this manuscript.

#### A colophon attached to a Buddhist text

In a colophon text written in strophic alliteration we find the following list of realms or peoples. <sup>12</sup> [tavgač] taŋut töpöt sart el-[läri] "the realms of the [Chinese], the Taŋut, the Tibetans, the Sart." <sup>13</sup> In the course of history under Sart different peoples were understood. As this manuscript seems to originate from the Mongol period, it is not clear which realm or state the realm *Sart eli* can refer to. But in the light of the Mongol sources a shift from "merchants" to "Muslims" can be taken as sure. <sup>14</sup>

A miscellaneous text from Turfan region mentioning the Taŋut

The text discussed in the following was written on the verso side of a Chinese scroll containing the Buddhist text *Shi shan ye dao jing* 十善業道經 (T. 660).

<sup>&</sup>lt;sup>8</sup> Dankoff, Kelly 1982 (Vol. I), p. 82.

<sup>9</sup> Dankoff 1980.

<sup>&</sup>lt;sup>10</sup> In English translation: Thackston 1998, pp. 72–74.

<sup>&</sup>lt;sup>11</sup> U 330 + U 334 (Turfan Collection of Berlin, Cf. Digital Turfan Archive on the website of the Berlin Brandenburg Academy of Sciences and Humanities).

<sup>&</sup>lt;sup>12</sup> Dilara 2011, line 25.

<sup>13</sup> Ibid.

<sup>&</sup>lt;sup>14</sup> Zieme 2005.

Unfortunately although several pieces are preserved, <sup>15</sup> one cannot decide whether the paper of the Chinese scroll was cut into two halves or used in its complete height. Judging from the fact that the Uighur text starts at the utmost upper margin which is the upper margin of the Chinese side, one is inclined to consider that only half of the height was used for writing the Uighur text. There is a single fragment, Ch/U 7542, on which the margin is preserved. All other pieces have no traces of margins, they all are middle pieces. If the complete height of the original Chinese sheets were used, the missing text between the lines is more than half of a line. If only half of it was used, the lacunae are of course less, but still not exactly discernible. Therefore the interpretation of the text is hanging around. The Uighur script is astonishingly small, the letters are nevertheless clear. Some Sanskrit phrases or expressions in Brāhmī characters are inserted, but it is not totally clear whether they were translated or not. The mentioned difficulties make a reasonable understanding of the fragments nearly impossible. Therefore I abstain from a full translation.

```
Transcription of the text fragments
Ch/U 6691+ Ch/U 6687
01 01 [
          äd]gü adl(1)g tügünü[
02 02 [
          ]ng-nin yin-kä [
03 03 [
          ]g t(a)vgač bodunı '[
04 04 [
          ]lämiš b(ä)k bäglär [
05 05 Γ
          m]iš täg tal taglıg (?) [
06 06 [
          ] tiš käziglig in[
07 07 [
          ]ug-lar al[
08 08 [
          ]g[
                ]ulmıš ar[
09 09 [
          ] ägrülü ulun-lag [
10 10 [
          ]qa yal(a)ŋuk-lar-lıg [
11 11 [ ] ärmäz käyik-lär u[
lacuna of approximately 32 Chinese lines
Ch/U 7542
12 01 [
             ]n kavrılmadın kamag ta [
13 02 [
             ] kadır katıg-lanmakınız [
                                                         ]
14 03 [ ]di ärsär anunup kälmiš tanut-l[a]r [
                                                         ]
15 04 urtı amtı-katägi amıl-ın enčin a
                                                         siz]
16 05 -in al-lıg inčgä biliginiz agır ulug [
17 06 t[o]bač türk bodunın kalkan say-ta kamčı [
18 07 -qa basgan oglı tatar bodunın bars-qa bög[ü
19 08 xanı täg korkınčsız alp arslan ıduk t(ä)ŋri[
20 09 ašnu azag-laštukuņuz üčün agzanmiš y(a)rl[ıg
21 10 bodunın ačınu agır-ın tapıg-ın tapınu y(a)rlık[a
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 $<sup>^{15}</sup>$  The fragments: Ch/U 6691+ Ch/U 6687; lacuna of approximately 32 Chinese lines; Ch/U 7542; lacuna of approximately 2 lines (according to the Chinese Recto side); Ch/U 7750 + Ch/ 7540: Ch/U 7547; a not localised fragment: Ch/U 6684. Photographs are available in the Digital Turfan Archive on the website of the Berlin Brandenburg Academy of Sciences and Humanities.

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22 11 baxšı birlä ıduq šazını tıltag-ınta ilk[i
                                                          1
lacuna of approximately 2 lines (according to the Chinese Recto side)
Ch/U 7750 + Ch/7540
23 01 [ o]lar [
24 02 [
         ] näčä [
25 03 [
          ] amıl k[ön]gl[üŋüz
26 04 [
          ] sizin kön[ül
27 05 [
          ] bodun-ug . [ ] [
28 06 [
          ]-up . yaltrı[
29 07 [
          ]n ükliyü [
30 08 [
          ]bolu y(a)rlıka[
31 09 [
          yar]lıkadınız.[
32 10 [
           ]kan siz[
33 11 [
          t]amtulur ö[
34 12 [
          x]an eligimiz ö[
35 13 [
          ] ulug bädük tn[
36 14 [
          äd]gü-lüg ulug tö[rlüg
37 15 [
          tın]l(ı)g-larka umug [ınag
                                                   ]
38 16 [
          gu nā ti śa yai-r<sup>16</sup>
39 17 [
          ] ädgü-lüg [
40 18 [
          ] burxan kutına [
41 19 F
          ]-lar üzä ükliyü asılu [
42 20 [
          ]lıg kök kalık yüüzintä y[
43 21 [
          y]u y(a)rlıkar siz . du kham su kham [
44 22 [
          ] bodun-nuŋ bokun-nuŋ sta vā [ ]i [
45 23 [
          ]z ärür ančulayu ok ärk üz[ä
46 24 [] anın siz arka bodunug küzädgä[y
47 25 [e]l-tä tašdınkı-lar-qa bı bıč[gu
48 26 [a] śe sa jña tvāj jñā ta ko¹
49 27 [
                    ]mamak [
50 28 [
                   ]mak-ta [
51 29 [
                     s[u] pu ji tā
52 30 [
                  ] ögrünč-lüg [
53 31 [
                             ]ič[
Ch/U 7547
54 01 [
          ]maz-a: boguk [
55 02 [
          ]sur ärki . ud[
56 03 [
          ]dukı ok täg[
57 04 [
         ] körk . tirilür [
```

<sup>16</sup> Explanation by D. Maue: "gu nā ti śa yai-r. Sandhi (-r) of Instr. Pl. m./n. of *gunātiśaya*-, here either Tatpuruṣa 'abundance of good qualities' or Bahuvrīhi 'having abundance of good qualities' (cf. ädgülüg in line 39)."

<sup>&</sup>lt;sup>17</sup> Explanation by D. Maue: " $a\acute{s}e\~{s}aj\~{n}atv\~{a}j$   $j\~{n}\~{a}tako$  'famous because of his omniscience', Sandhi -j < -t in front of j; Sandhi -o < -as in front of voiced consonants plus a-."

	58 05 [	]d[.]-läšiŋiz tuta kälg[	]	
	59 06 [	ö]gdir ančo ačıg a[	]	
	60 07 [	] agladı takı bo tuz[	]	
	61 08 [	]n kuvratduru kut[	]	
	62 09 [	]inip . kurč-ta a[	]	
	63 10 [	]m bo <b>dha rma rā ja</b> 1d[uk	]	
A fragment not localizable				
	Ch/U 668	84		
	64 01 [	] beš ya[	]	
	65 02 [	] asıg bolu[r	]	
	66 03 [	]uzta ädgü yol-1[	]	
	67 04 [	]-ka knt-tin ädgü [	]	

#### Translation

It is nearly impossible to present a continuous translation. Here I would like to mention some points. In line 03 "the people of China" (or: "the Chinese people"), followed by 04 "the lords (*bäglär*). The "creatures" (or: "human beings") in line 10 may be a part of a metaphorical expression in connection with the following "wild animals" of line (11). A large lacuna disjoints the text from the following passage:

12 [...] without being pressed (?), all [...] 13 [...] your strong striving [...] 14 [...] if it was [...], the Taŋuts who have prepared and came, [...] 15 threw (established?). Up to now, in piece and rest [...] 16 through your fine knowledge of means [you have ...] 17 the people of the T[o]bač Türk in Kalkan Say [with (?)] whip [...] 18 to [...], Basgan ogli the people of the Tatar to Bars [...] 19 [...] the fearless Alp Arslan like the Xan of the wise [...], the holy Tängri[kän ...] 20 because of your former failing the command you expressed [was not followed ...] 21 protecting the people of [...] with great respect serving [...] 22 with the Baxši because of the holy discipline the primordial ...

This passage gives the impression as if a historical event is reported on, but in the following we find references to clear religious expressions like (Sanskrit) "I want to confess" (line 38), "to the Buddhahood" (line 40), or (Sanskrit) "Well-honoured One" (line 51). Also (Sanskrit) *dharmarāja* (in line 63) belongs to the religious sphere. Therefore it is possible to explain the text as a kind of a long colophon discussing the "outer" (= worldly) and "inner" (= religious) matters. Similar examples are known from the Avadāna colophons edited by M. Shōgaito. 18

<sup>18</sup> Shōgaito 1988.

Notes<sup>19</sup>

Lines 17 to 19 contain some interesting details on a certain Alp Arslan ("Brave Lion") who is compared to the famous Bög[ü Xan]. But this is already an emendation without any certainty. In line 17 a local place is mentioned: Kalkan Say. In the region of today's Xinjiang *say* means inter alia "salty steppe"<sup>20</sup> and is used in many place-names as also the word *kalkan*, originally "shield."<sup>21</sup> The same place name is known from the fragment Ch/U 6885v32 kalkan say-takı sakıg-ča "like a Fata Morgana in Qalqan Say."

Very enigmatic remains in line 17 the word before türk here read as t[o]bač. Presumably this is an epithet to the designation türk. At first sight I thought to read [čo]bač for [čo]vač recorded by Mahmūd al-Kāšģarī as "the royal parasol was set up there; this is a parasol made of silk for the kings of the Turks under which they seek shade in the summer heat and take shelter from rain and snow."<sup>22</sup> Could this word be the etymon of the name of the Čuvaš? While J. Benzing regarded the name of the Čuvaš as not yet explained,<sup>23</sup> J. Németh proposed a derivation from Tatar yıvaš.<sup>24</sup> But if the word in question is spelled  $t[?]ba\check{c}$ , it represents, at any case, another ethnic name.

Persons called Alp Arslan are known from the colophon fragment U 709<sup>25</sup> in which a layman is mentioned who ordered a copy of the Altun Yaruk Sudur<sup>26</sup> or from a colophon of the Säkiz yükmäk Yaruk sudur.<sup>27</sup> But here it should be a high-ranking person, probably the ruling king.

Suzhou inscription of 1361

In the 1361 inscription from Suzhou<sup>28</sup> studied and edited by Geng Shimin<sup>29</sup> in line 03 we encounter the term Great Tanut: ulug<sup>30</sup> tanut yerindäki Sügču "(the city of) 肃州 Suzhou in the country of the Great Tanut."

The term ulug tanut either reflects their own dynastic name of 大夏 Da Xia "Great Xia" or is simply an addition by the Uighur writer of the inscription.

<sup>&</sup>lt;sup>19</sup> Here I want to express my thanks to J. U. Hartmann and D. Maue for their help in reading the Brāhmī characters. D. Maue also gave explanations of two compounds (fn. 16 and 17).

<sup>&</sup>lt;sup>20</sup> Jarring 1997, p. 398: "hard, sterile soil with a thin sprinkling of gravelly débis on the surface,"

<sup>&</sup>quot;gravel desert" etc. On pp. 398–400 69 different place-names are listed in detail.

21 Jarring 1997, p. 342: "main beams in the horizontal edges of the ceiling," "an arrangement in the walls of a fortress in the form of pointed corners for the protection of the fortress," "a shelter against the sun or the wind."

<sup>&</sup>lt;sup>2</sup> Clauson 1972, p. 395a.

<sup>&</sup>lt;sup>23</sup> Benzing 1968, p. 62.

<sup>&</sup>lt;sup>24</sup> Rejected by Golden 1992, p. 396: "but this is, by no means, conclusively demonstrated."

<sup>&</sup>lt;sup>25</sup> Raschmann 2005, No. 669.

<sup>&</sup>lt;sup>26</sup> For further details cf. Zieme 1981, p. 90 with reference to Alp Arslan as a part of a king's name.

<sup>&</sup>lt;sup>27</sup> Oda 2010, Text volume, p. 201.

<sup>&</sup>lt;sup>28</sup> Franke 2002, p. 262, n. 124; Franke 2003, pp. 143–156.

<sup>&</sup>lt;sup>29</sup> Geng 1986.

<sup>&</sup>lt;sup>30</sup> Not read by Geng Shimin (Geng 1986). I have to admit that this reading is not absolutely clear, but the most probable one.

In line 07 the attack against and the killing of the Taŋut by Genghis (Čiŋgis) Khan and his troops is recorded: *taŋut öldürüp alkıp yokadturup*<sup>31</sup> "killing, extinguishing<sup>32</sup> and quashing of the Taŋut."

#### Tanut čölgä

D. Matsui mentions the term  $Ta\eta ut\ \check{colga}$  "the circuit of the Taŋut" in an inscription of the Mogao caves still unedited. <sup>33</sup> He supplied also a useful map showing some places of Buddhist pilgrimage in Mongol times. <sup>34</sup>

#### Conclusion

The data show us convincingly that the name of the Taŋut is known from various sources throughout the history. The Xi-Xia state existed only two centuries, but the tribe(s) of the Taŋut were known as neighbours and/or enemies across several centuries in the indigenous sources of the Türks and Uighur even before and after the flourishing time of the Taŋut. S.-Ch. Raschmann documents in her contribution many persons in different types of text who were simply called "Taŋut."

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<sup>&</sup>lt;sup>31</sup> Different reading in Geng 1986.

<sup>&</sup>lt;sup>32</sup> This example, although important for the meaning of the word in this tripartite conjunction, is not recorded in the new edition of the "Uigurisches Wörterbuch" (Röhrborn 2010, p. 48 sub **alk-**).

<sup>&</sup>lt;sup>33</sup> The word *čölgä* < Mong. *čölge* "circuit," cf. Matsui 2008, p. 27.

<sup>&</sup>lt;sup>34</sup> Matsui 2008, p. 28.

<sup>&</sup>lt;sup>35</sup> See: Raschmann S.-Ch. "The Personal Name Taŋut as Seen from the Old Uighur Texts" in this volume.

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