РОССИЙСКАЯ АКАДЕМИЯ НАУК Институт восточных рукописей

ТАНГУТЫ в Центральной Азии

Сборник статей в честь 80-летия профессора Е.И.Кычанова



МОСКВА Издательская фирма «Восточная литература» 2012 УДК 94(5) ББК 63.3(5) T18

> Издание выполнено при поддержке Фонда Цзян Цзин-го (Chiang Ching-kuo Foundation for International Scholarly Exchange), Тайвань

Составитель и ответственный редактор И.Ф. Попова

Тангуты в Центральной Азии : сб. ст. в честь 80-летия проф. Е.И. Кычанова / сост. и отв. ред. И.Ф. Попова ; Ин-т восточных рукописей РАН. — М. : Вост. лит., 2012. — 501 с. : ил. — ISBN 978-5-02-036505-6 (в пер.)

Сборник, в который вошли статьи отечественных и зарубежных ученых, посвящен 80-летию известного российского востоковеда, доктора исторических наук, профессора Е.И. Кычанова. Проблематика сборника задана основными доминантами многолетнего исследовательского творчества юбиляра, который, являясь в первую очередь тангутоведом и опираясь на широчайшую источниковедческую базу, блестяще разработал многие актуальные проблемы истории государственности, права, этногенеза, письменного наследия народов Китая и Центральной Азии. Большинство авторов статей постарались показать, как вопросы, поставленные в свое время в работах Е.И. Кычанова, получили дальнейшее развитие в науке.

© Институт восточных рукописей РАН, 2012

© Редакционно-издательское оформление. Издательская фирма «Восточная литература», 2012

ISBN 978-5-02-036505-6

Wu Yingzhe

A Study of the Tribal Name *Diela* in the Khitan Small Script

Introduction

hitan Diela tribe (契丹迭刺部) was the native tribe of the Taizu emperor Yelü Abaoji (耶律阿保機) of the Liao dynasty, indeed one of the most significant tribes of the early Liao period. The Diela tribe was established approximately during the period of Kaiyuan (開元) or Tianbao (天寶) of the Tang dynasty. At the time, the tribe was not very powerful, therefore it was not one of the "Khitan Ancient Eight Tribes" (契丹古八部). Yet later, Yelü Abaoji was able to rely on the might of his tribe to oust the Yaonianshi clan (遙輦氏), which enabled him to found the Khitan dynasty. Afterwards, because the Diela tribe was becoming too powerful, it was divided into two tribes—Wuvuanbu (五院部) and Liuvuanbu (六院部)—by Liao Taizu (遼太祖) in the first year of Tianzan (天贊, 922). The tribe played an important role in the historical transformation of the Khitan society; therefore scholars of Khitan history have paid it much attention. In recent years, with the discovery of new materials and other advances within Khitan studies, some new information is available for the study of this tribal name. This paper will discuss the meaning and pronunciation of a character in the Khitan small script possibly indicating "Diela," according to the character denoting "tribe" and to analysis of the newly found epitaph of Yelü Jue (耶律玦). The author dedicates this paper to Professor Evgeniy Kychanov's 80th birthday with gratitude and respect.

1. The block denoting "Tribe" in the Khitan small script

Jishi (1996) first introduced the idea that the block $\begin{pmatrix} \mathcal{C} \\ \mathcal{D} \\ \mathcal{D} \end{pmatrix}$ (*Xiao Linggong* 蕭令公,

17) could mean "tribe," while 伐今 (Yelü Renxian 耶律仁先, 40) means "road" (路).

Toyoda Gorō (1998) suggests that $\frac{ (c)}{ c }$ denotes "surface" (面) *ni-'ur*. Wang © Wu Yingzhe, 2012

Weixiang (1999) considers that $\overset{\textbf{K} \uparrow}{\underline{\sigma}}$ means "tribe." Liu Fengzhu concurs with the above scholars, also considering that $\overset{\textbf{K} \dag}{\underline{\sigma}}$ denotes "tribe." According to these views, we can see that both $\overset{\textbf{K} \uparrow}{\underline{\sigma}}$ and $\overset{\textbf{K} \dag}{\underline{\sigma}}$ denote "tribe." Within them, the characters $\boldsymbol{\pi}$ and $\boldsymbol{\hat{\sigma}}$ have similar pronunciations u or v that have been established by earlier research.

However, we are still left exploring the grammatical meaning of the block $\frac{\# + \pi}{5\pi}$. In my opinion, it means $\frac{\# + \pi}{5\pi}$ ("tribe"), with an added plural suffix \mathfrak{F} and a possessive case marker \mathfrak{h} . I believe that the Khitan small script had a following spelling rule: the last consonant of a word will fall off when that word takes a suffix, i.e. $\frac{\# + \pi}{5\pi} + \mathfrak{F} + \mathfrak{h} = \frac{\# + \pi}{5\pi}$ (*nu:r + li + on = nu:lien*); $\mathfrak{m} \mathfrak{K}$ gor (\mathfrak{m} "tent") + $\mathfrak{F} d$ (locative case) = $\mathfrak{m} \mathfrak{F} \mathfrak{g} \mathfrak{g} d$ ("in a tent"). Like Khitan script, Mongolian script also observes a similar rule of the last consonant falling off, for instance $\mathfrak{m} \mathfrak{K}$ [noxŏr] "comrade, friend" + $\mathfrak{m} d$ (plural suffix) = *nohŏd* $\mathfrak{m} \mathfrak{K} d$ "comrades, friends." Examination of the extant materials of the Khitan small script reveals that the block $\mathfrak{K} \mathfrak{K} \mathfrak{K}$ appears 8 times, $\mathfrak{K} \mathfrak{K} \mathfrak{K} \mathfrak{K} \mathfrak{K} \mathfrak{K}$ times, while blocks $\mathfrak{K} \mathfrak{K} \mathfrak{K}$ and $\mathfrak{K} \mathfrak{K} \mathfrak{K}$ never appears.

Furthermore, the block $\stackrel{\ensuremath{\mathfrak{C}}}{\pi}$ may mean "tribes." This block appears on the 11th line of the *Yelü Cite* (耶律慈特): - 为 $\stackrel{\ensuremath{\mathfrak{C}}}{\pi}$ this passage meaning "northeast tribe," as deciphered by Liu Fengzhu et al. (2006). The exact meaning of $\stackrel{\ensuremath{\mathfrak{C}}}{\pi}$ may be

¹ Liu Fengzhu et al. 2009.

"tribes," its root being $\frac{dt}{5}$. Consequently, we can see $\frac{dt}{4}$ (Yelü Dilie 耶律迪烈, 6) denoting "tribes."

The blocks $\frac{\mathbf{K}\mathcal{R}}{\mathbf{x}}$ and $\frac{\mathbf{K}\mathcal{R}}{\mathbf{k}}$ appear on the 5th and 6th line of the Epitaph of Yelü Xiangwen (耶律詳穩). I argue that both these blocks mean "tribe," because the characters \mathbf{H} , $\mathbf{\hat{\kappa}}$ and \mathbf{R} are pronounced similarly as "u," whereas \mathbf{x} and $\mathbf{\hat{\kappa}}$ are pronounced *ur*. In addition to this, we can also assume that the block $\frac{\mathbf{K}\mathcal{R}}{\mathbf{f}}$ (*Xiao Zhonggong* 蕭仲恭, 13) denotes "in a tribe."

According to extant materials, we can conclude that the characters meaning "tribe" with its various declensions are written in the Khitan small script as follows:

Khitan script	伏会	伏 公 化	伏 廾	伏只
pronunciation	ni:ur	ni:ur	ni:ur	ni:ur
meaning	tribe	tribe	tribe	tribe
Khitan script	伏 穴	伏 升 页	伏穴	伏 廾 币
pronunciation	nu:li	nu:li	nu:d	nu:d
meaning	tribes	tribes	in tribes	in tribe
Khitan script	伏 廾 夾 丸	伏	伏 廾 夾 仐	伏 廾
pronunciation	ni:urən	ni:uri:	ni:uri:s	ni:uri:sər
meaning	tribe (possessive case)	tribe (objective case)	tribe (plural suffix)	tribes (instrumental case)

2. The block meaning "Diela" in the Khitan small script

Based on the context of the following passage, scholars have argued that its meaning is related to "Diela tribe":

令太 小 伏井	Yelü Gui 耶律貴, 2	令炎小伏井 今 列 夾	Gu Yelü 故耶律, 4
经 太 小 伏 井 全 列	Yelü Zhixian 耶律智先, 5	令太 小 伏仌 今 列 夾	Yelü Nu 耶律奴, 5
令 小 伏	Yelü Taishi 耶律太師, 2	□□ 小列 伏仌 □ 小列 夾	Yelü Dilie 耶律迪烈, 5

Above, the first blocks $\stackrel{\bullet}{2} \stackrel{\bullet}{4}$, $\stackrel{\bullet}{4} \stackrel{\bullet}{4}$ and $\stackrel{\bullet}{4} \stackrel{\bullet}{4}$ and the second blocks $\stackrel{\bullet}{1}$ and $\stackrel{\bullet}{4} \stackrel{\bullet}{4}$ also may have similar meanings respectively, although their character patterns or writing conventions are different from each other. The pronunciation and meaning of the third blocks have been mentioned before. However, up to now there is disagreement as to which block denotes the tribal name "Diela." Bao Yuzhu (2006) argues that the first block means "big" which is equivalent to [tegüs] ("complete, satisfactory") in Mongolian, the second block meaning "Diela" [delėgo]. Liu Fengzhu et al. (2009) argues that the first block means "Diela" and the second one means "born of the same parents" (同胞). Yet Aisin Gioro Ulhicun (2006) maintains that the first two blocks record an alternative name of "Diela," the pronunciation of $\stackrel{\bullet}{}_{\mathcal{A}} \stackrel{\bullet}{,} \stackrel{\bullet}{}_{\mathcal{A}} \stackrel{\bullet}{,}$ and $\stackrel{\bullet}{}_{\mathcal{A}} \stackrel{\bullet}{,}$ is *doliae*, and they may denote "Diela."

In the extant materials, $\begin{array}{c} \diamondsuit \\ \clubsuit \end{array}$ appears 9 times, $\begin{array}{c} & \bigstar \\ \clubsuit \end{array}$ 2 times and $\begin{array}{c} \diamondsuit \\ \clubsuit \end{array}$ 1 time. These blocks are only used to embellish $\begin{array}{c} \checkmark \\ \cancel{3} \end{array}$. However, $\begin{array}{c} \diamondsuit \\ \bigstar \end{array}$, $\begin{array}{c} \bigstar \\ \bigstar \end{array}$ and $\begin{array}{c} \diamondsuit \\ \bigstar \end{array}$ do not always appear in front of the block $\begin{array}{c} \checkmark \\ \cancel{3} \end{array}$ or $\cancel{3}$. For example:

业及 子 並 小 列 伏 穴 ち · · · · · · · · · · · · · · · · · · ·	Yelü Dilie 耶律迪烈, 6	化九小伏穴 冇 列 夾	Yelü Taishi 耶律太師, 3
Become tribe		Irgin tribe	
主王 ^小 伏 穴 <u></u>	<i>Yelü Xaingwen</i> 耶律詳穩, 5	- 小 伏穴 	Yelü Xiangwen 耶律詳穩, 7
Emperor tribe		King tribe	

457

What this means is that $4 \cdot 3 \cdot (\frac{1}{34})$ must be the head of the phrase, while $\frac{4}{4}$ $(\overset{\times}{4}, \overset{\times}{4})$ must be a modifier. In my opinion, the first block does not mean "big." Since we are familiar with the character \mathbf{x} modifying "country" and the character $\mathbf{x} \in \mathbf{x} \in \mathbf{x}$ modifying "Khitan," both of them meaning "big," the blocks $\overset{\times}{4} \times (\overset{\times}{4}, \overset{\times}{4})$ cannot mean "big." In addition, scholars have read the block $\overset{\times}{4} \times \overset{\times}{4}$ as [tegüs] which is equivalent to "complete, satisfactory" in the Mongolian language, so $\overset{\times}{4} \times (\overset{\times}{4}, \overset{\times}{4})$ does not seem to mean "big."

From the point of view of phonetics, $\stackrel{\diamondsuit}{+} \stackrel{\bigstar}{+} \stackrel{(\overset{\diamondsuit}{+} \overset{\bigstar}{+}, \stackrel{\diamondsuit}{+})$ cannot be read as *Diela*. According to earlier research, \diamondsuit sounds *d*, \bigstar , \And *uei* or *ei*, and \diamondsuit *s*, so the above may be read as *dueis*, *deis* or *duise*. The phonetic change of the Chinese characters 迭刺 is as follows:

 Old Chinese (上古)
 Middle Chinese (中古)
 Modern Chinese (近代)

 迭:
 diet④
 diet④
 tie②

 刺:
 lat④
 la④

Based on the above pronunciations, we can assume that the actual sound of 迭刺 may be *dietlat* or *tiela*. The tribal name 迭刺 is sometimes recorded as 迭刺葛 in *The History of the Liao Dynasty*,² so the tribal name may also have the phonetic form *dietlatg, tielag*. However, these pronunciations are different from *dueis, deis* or *duise* of $\stackrel{\diamond}{}_{\Phi}$ ($\stackrel{\diamond}{}_{\Phi}$, $\stackrel{\diamond}{}_{\Phi}$). It means that the block $\stackrel{\bullet}{}_{J}$ ($\stackrel{\bullet}{}_{J}$) means "Dela", rather than $\stackrel{\diamond}{}_{\Phi}$ ($\stackrel{\diamond}{}_{\Phi}$, $\stackrel{\diamond}{}_{\Phi}$).

² Liao shi 1974, vol. 1, ch. 33, p. 393; Ibid., vol. 2, juan 46, p. 764.

According to previous research, the second character 커 of $\frac{1}{34}$ reads [ɣ], however, the pronunciation of 小 is unclear. There is evidence from the newly discovered materials for deciphering the character 小. There are $\frac{2}{4} \frac{2}{3} \frac{2}{5} \frac{2}{5$

We also know that \mathcal{P}_{xi} , x and $\frac{\mathcal{P}_{xi}}{\mathcal{P}_{xi}}$ [y] are similar, so we can read \mathcal{P}_{xi} as *tela* or *dela*, according to the sound of $\mathfrak{F}\mathfrak{F}$.

Sources

Gu Yelü 故耶律: the Memorial Stone of the Late Mrs. Yelü 故耶律氏銘石 Gu Yelü shi mingshi, dated 1115, discovered 1969.

Yelü Cite 耶律慈特: the Epitaph of Yelü Cite 耶律慈特墓誌 Yelü Cite muzhi, dated 1082, discovered 1997 (early summer).

Yelü Dilie 耶律迪烈: the Epitaph of Yelü Dilie 耶律迪烈墓誌銘 Yelü Dilie muzhiming, dated 1092, recovered 1997 (spring).

Yelü Gui 耶律貴: the Epitaph of Yelü Gui 耶律貴墓誌銘 Yelü Gui muzhiming, also known as the Epitaph of Yelü [Gui An] Diligu 耶律[貴安]迪里姑墓誌銘 Yelü [Gui An] Diligu muzhiming, dated 1102, discovered 2002.

³ The *Diela* tribe 迭刺部 was divided into two smaller tribal units in 922 (天贊元年), known as the Five and Six Divisions (*Wuyuanbu* 五院部 and *Liuyuanbu* 六院部). The Five Divisions tribe was subdivided into four *shilie* 石烈: *Big miegu shilie* 大蔑孤石烈, *Small miegu shilie* 小蔑孤石烈, *Oukum shilie* 甌昆石烈 and *Yixiben shilie* 乙習本石烈 (Liao Shi, vol. 1, ch. 33, p. 384). There are different interpretations of the meaning of the word *shilie* in *The History of the Liao Dynasty*: it is translated as "county" (縣) in the 百官志 section (Ibid., vol. 2, ch. 45, p. 718), though it is translated as "village" (鄉) in the 國語解 section (Ibid., vol. 3, juan 116, p. 1534).

- Yelü Jue 耶律決: the Epitaph of Yelü Jue 耶律決墓誌銘 Yelü Jue muzhiming, dated 1071, discovery date unknown.
- Yelü Nu 耶律奴: the Epitaph of Yelü Nu 耶律奴墓誌銘 Yelü Nu muzhiming, dated 1099, discovered 1999.
- Yelü Renxian 耶律仁先: the Epitaph of Yelü Renxian 耶律仁先墓誌銘 Yelü Renxian muzhiming, dated 1072, discovered 1983.
- Yelü Taishi 耶律太師: the Epitaph of Grand Preceptor (Taishi) Yelü 耶律太師墓誌銘 Yelü Taishi muzhiming, dated 1101, discovery date unknown, acquired 2009 (June).
- Yelü Xiangwen 耶律詳稳: the Epitaph of Field Marshal Yelü 耶律詳穏墓誌 Yelü Xiangwen muzhi, dated 1091, acquired 2007 (June).
- Yelü Zhixian 耶律智先: the Epitaph of Yelü Zhixian 耶律智先墓誌銘 Yelü Zhixian muzhiming, dated 1094, discovered 1998.
- Xiao Linggong 蕭令公: the Broken Epitaph of Xiao Linggong 蕭令公墓誌殘石 Xiao Linggong muzhi canshi, dated 1057, discovered 1950.
- Xiao Zhonggong 蕭仲恭: the Epitaph of Xiao Zhonggong 蕭仲恭墓誌 Xiao Zhonggong muzhi, dated 1150, discovered 1942.

References

- Aisin Gioro Ulhicun 2006 Aisin Gioro Ulhicun 愛新覚羅·烏拉熙春. Kittanbun boshi yori mita Ryōshi 契丹文墓誌より見た遼史 [Liao History as Seen from the Epitaphs in the Khitan Script]. Kyōto: Shōkadō shoten 京都: 松香堂書店, 2006.
- Bao Yuzhu 2006 Bao Yuzhu 寶玉柱. "Qidan Xiaozi **由** ji qi tihuanzi yanjiu" [Research on the Khitan Small Script Character **由** and its Variants] 契丹小字**由**及其替換字研究. In *Nei Menggu daxue xuebao (Zhexue shehui kexueban)* [Bulletin of the Inner Mongolia University (Philosophical and Historical Series)] 內蒙古大學學報 (哲學社會科學版), 1 (2006), pp. 8–12.
- Jishi 1996 Jishi 即實. Milin wenjing: qidan xiaozi jiedu xincheng 謎林問徑:契丹小字解讀新程 [Seeking a Path through a Forest of Riddles A New Stage in the Decipherment of the Khitan Small Script]. Shenyang: Liaoning minzu chubanshe 瀋陽:遼寧民族出版社, 1996.
- Liao shi 1974 Liao shi 遼史 [The History of the Liao Dynasty]. Ed. by Tuotuo 脱脱. 3 vols. Beijing: Zhonghua shuju 北京:中華書局, 1974.
- Liu Fengzhu et al. 2006 Liu Fengzhu 劉鳳翥, Cong Yanshuang 從豔雙, Yu Zhixin 於志新, Narangua/Naren Gaowa 娜仁高娃. "Qidan xiaozi 'Yelü Cite / Wuliben muzhiming' kaoshi" [A Study of the Epitaph of *Yelü Cite / Wuliben* in the Khitan Small Script] 契丹小字〈耶律慈 特·兀裏本墓誌銘〉考釋. In *Yanjing xuebao* [Yenching Journal of Chinese Studies] 燕京學報 (New Series), 20 (2006), pp. 255–277.
- Liu Fengzhu et al. 2009 Liu Fengzhu 劉鳳翥, Tang Cailan 唐彩蘭, Chengel/Qinggele 清格勒. Liao shangjing diqu chutu de liaodai beike huiji [A Collection of Inscriptions on Steles Excavated from the Supreme Capital of the Liao Dynasty] 遼上京地區出土的遼代碑刻匯輯. Beijing: Shehui kexue wenxian chubanshe 北京: 社會科學文獻出版社, 2009.
- Toyoda 1998 Toyoda Gorō 豐田五郎. "Qidan xiaozi suo baoliu xialai de zhonggu Mengguyu zhi henji — Yongfu, chunqiu, shuci" 契丹小字所保留下來的中古蒙古語之痕跡——永福、 春秋、數詞 [Traces of Middle Mongolian preserved in the Khitan small script: Yongfu [tomb], spring/autumn, numerals]. In *Nitchū gōdō moji bunka kentōkai happyō ronbunshū* [Collected Papers Presented at the Japan-China Joint Writing Culture Conference] 日中合同文字文化研 討会発表論文集. Kyōto: Moji bunka kenkyūsho 京都:文字文化研究所, 1998, pp. 147–156.
- Wang Weixiang 1999 Wang Weixiang 王未想. "Qidan xiaozi 'Zezhou cishi muzhi' canshi kaoshi" [A Study of the Khitan Small Script Broken Epitaph of the Prefect of Zezhou] 契丹小 字 〈澤州刺史墓誌〉殘石考釋. In Minzu yuwen 民族語文, 2 (1999), pp. 78–81.